

## § Introduction to the Ricci flow

It is analogous to heat equation but it is non-linear ◦

The Ricci flow was introduced by Richard Hamilton 1982 ◦ [\[ResearchGate\]](#)

[里奇流與 [Poincare 猜想](#)(1999 數學傳播 張樹城)]

## § 01 Definition

We have a Riemannian manifold  $M$  with the metric  $g_0$  , **the Ricci flow is a PDE** that

evolves the metric tensor :  $\frac{\partial}{\partial t} g(t) = -2Ric(g(t))$  ,  $g(0) = g_0$

A solution to this equation (or a Ricci flow) is a one-parameter family of metrics  $g(t)$  ,  $(M, g(t_0))$  is called the initial condition ( or initial metric) ◦

We hope that the metric will evolve towards one of the Thurston eight fundamental geometric structure ◦

In **harmonic coordinates** about  $p$  , that is to say  $\Delta x^i = 0$  , we have

$R_{ij} = Ric(\frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j}) = -\frac{1}{2}\Delta g_{ij} + Q_{ij}(g^{-1}, \partial g)$  where  $Q_{ij}$  is a quadratic form in  $g^{-1}$  and  $\partial g$

So , the Ricci flow equation  $\frac{\partial g}{\partial t} = -2Ric(g) = \Delta g + 2Q_{ij}(g^{-1}, \partial g)$  is a heat equation for the Riemannian metric ◦ ( heat equation  $u_t = k\Delta u$ )

## Definition

The space-time for a Ricci flow is  $M \times I$  , where  $t \in I$  ◦

Given  $(p,t)$  and  $r>0$  ,  $B(p,t,r)$  is the ball of radius  $r$  centered at  $(p,t)$  in the  $t$  time-slice ◦

The Laplacian :

1.  $\Delta u = div(grad(u))$
2. Hessian matrix

$$Hess(u) = \begin{bmatrix} \frac{\partial^2}{\partial x^2} u & \frac{\partial}{\partial x} \frac{\partial}{\partial y} u & \frac{\partial}{\partial x} \frac{\partial}{\partial z} u \\ \frac{\partial}{\partial y} \frac{\partial}{\partial x} u & \frac{\partial^2}{\partial y^2} u & \frac{\partial}{\partial y} \frac{\partial}{\partial z} u \\ \frac{\partial}{\partial z} \frac{\partial}{\partial x} u & \frac{\partial}{\partial z} \frac{\partial}{\partial y} u & \frac{\partial^2}{\partial z^2} u \end{bmatrix}$$

is called the Hessian matrix of  $u$  , then  $\Delta u = trHess(u)$

the Ricci curvature is the trace of the Riemann curvature tensor ◦

[Ricci flow on  $S^2$ ] 完全沒看懂。

$$\frac{\partial g}{\partial t} = (r - R(x, t))g(x, t), x \in M, t > 0$$

Where  $R$  is the scalar curvature of  $g$  (= twice the Gaussian curvature  $K$ ) ,  $r$  is the average of  $R$  . The  $r$  in the equation is inserted to preserve the area of  $M$  .

§ 02 Some exact solutions to the Ricci flow

(1) Einstein manifolds

Let  $g_0$  be an Einstein metric :  $Ric(g_0) = \lambda g_0$  , where  $\lambda$  is a constant .

Then for any constant  $c > 0$  , setting  $g = cg_0$

$$Ric(g) = Ric(cg_0) = Ric(g_0) = \lambda g_0 = \frac{\lambda}{c} g$$

Consider  $g(t) = u(t)g_0$  is the solution of the Ricci flow , then

$$\frac{\partial g}{\partial t} = u'(t)g_0 = -2Ric(u(t)g_0) = -2Ric(g_0) = -2\lambda g_0$$

$\therefore u'(t) = -2\lambda, u(t) = 1 - 2\lambda t$  , thus  $g(t) = (1 - 2\lambda t)g_0$  is a solution of the Ricci flow .

The case  $\lambda > 0, \lambda = 0, \lambda < 0$  correspond to shrinking , steady and expanding solutions .

Notice that in the shrinking case the solution exists for  $t \in [0, \frac{1}{2\lambda})$  and goes singular

at  $t = \frac{1}{2\lambda}$  .

(2) The standard metric on each of  $S^n, \mathbf{R}^n, H^n$  is Einstein .

(3)  $CP^n$  equipped with the Fubini-Study metric , which is induced from the standard metric of  $S^{2n+1}$  under the Hopf fibration with the fibers of great circles , is Einstein .

(4) Let  $h_0$  be the round metric on  $S^2$  with constant Gaussian curvature  $\frac{1}{2}$  .

Set  $h(t) = (1-t)h_0$  , then the flow  $(S^2, h(t)), -\infty < t < 1$  is a Ricci flow .

We also have the product of this flow with the trivial flow on the line  $(S^2 \times \mathbf{R}, h(t) \times ds^2), -\infty < t < 1$  . This is called the standard shrinking round cylinder .

The standard shrinking round cylinder is a model for evolving  $\varepsilon$ -necks .

Definition

Let  $(M, g(t))$  be a Ricci flow. An evolving  $\varepsilon$ -neck centered at  $(x, t_0)$  and defined for rescaled time  $t_1$  is an  $\varepsilon$ -neck

$\varphi: S^2 \times (-\varepsilon^{-1}, \varepsilon^{-1}) \xrightarrow{\cong} N \subset (M, g(t))$  centered at  $(x, t_0)$  with the property that pull-back via  $\varphi$  of the family of metric  $R(x, t_0)g(t')|_N, -t_1 < t' \leq 0$

...

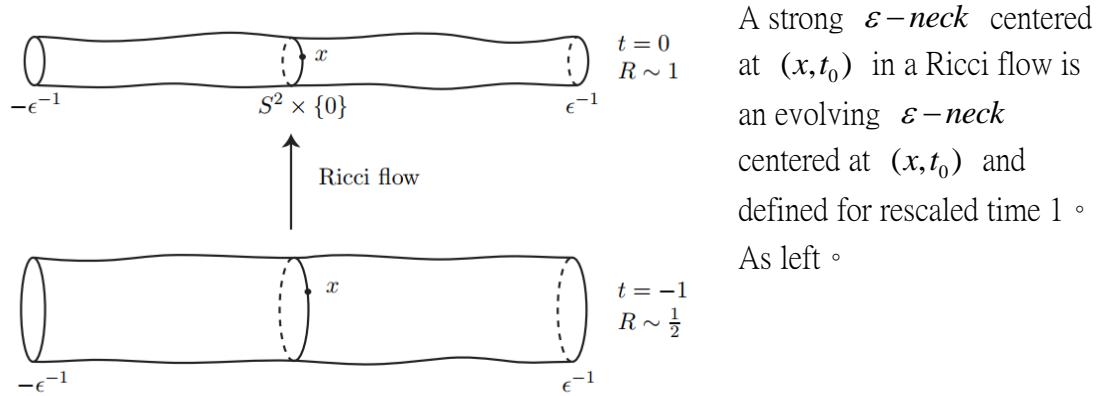


FIGURE 1. Strong  $\varepsilon$ -neck of scale 1.

### § [Ricci solitons](#)

A Ricci soliton is a Ricci flow,  $0 \leq t < T \leq \infty$ , with the property that for each  $t \in [0, T)$  there is a diffeomorphism  $\varphi_t: M \rightarrow M$  and a constant  $\sigma(t)$  such

$$g(t) = \sigma(t)\varphi_t^*g(0)$$

That is to say, in a Ricci soliton all the Riemannian manifold  $(M, g(t))$  are isometric up to a scale factor that is allowed to vary with  $t$ .

The soliton is said to be shrinking if  $\sigma'(t) < 0$  for all  $t$ .

Theorem Hamilton 1982

Let  $M^3$  be a closed 3-manifold which admits a Riemannian metric with strictly positive Ricci curvature, then  $M^3$  also admits a metric of constant positive curvature.

Uniformization(單值化) Theorem :

Any Riemannian metric on a closed 2-manifold is conformal to one of constant curvature.

任何單連通的黎曼曲面都共形等價於複平面、單位圓盤和黎曼球面三者之一。  
Whether there is a natural evolution equation which conformally deforms any metric on a surface to a constant curvature metric。

### § 03 Special Solution of the Ricci flow

Lemma 1.11

Let  $X \in T_p M$  be a unit vector。Suppose that  $X$  is contained in some orthonormal basis

for  $T_p M$ ， $Ric(X, X)$  is then the sum of the sectional curvature of planes spanned by  $X$  and other elements of the basis。

Given an orthonormal basis for  $T_p M$ ，the scalar curvature at  $p$  is the sum of all sectional curvature of planes spanned by pairs of basis elements。

For  $S^n (n > 1)$  of radius  $r(t)$ ，the metric is given  $g = r^2 \bar{g}$ ，where  $\bar{g}$  is the metric on the unit sphere。The sectional curvature are all  $\frac{1}{r^2}$ 。

單位 3 維球 的 metric， $\bar{g} = ds^2 = d\psi^2 + \sin^2 \psi (d\theta^2 + \sin^2 \theta d\phi^2)$

半徑  $r$  的 3 維球， $g = ds^2 = r^2 d\psi^2 + r^2 \sin^2 \psi (d\theta^2 + \sin^2 \theta d\phi^2)$

此處半徑是時間的函數， $g = r^2 \bar{g}$

$n$  維球的里奇張量  $Ric(g) = (n-1)g$ ，因此 Ricci flow 方程變成常微分方程

$$\frac{\partial g}{\partial t} = -2Ric(g) \Rightarrow \frac{\partial}{\partial t} (r^2 \bar{g}) = -2(n-1)\bar{g} \Rightarrow \frac{dr^2}{dt} = -2(n-1)$$

$$r^2 = R_0^2 - 2(n-1)t$$

$r(t) = \sqrt{R_0^2 - 2(n-1)t}$ ，時間  $t \rightarrow \frac{R_0^2}{2(n-1)}$ ，此球縮為一點(稱為奇點 singularity)。

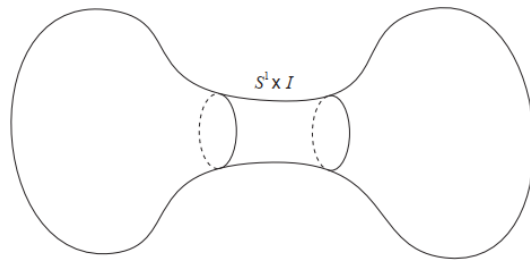
Similarly，for hyperbolic  $n$ -space  $H^n (n > 1)$ ，the Ricci flow reduces to the ODE

$$\frac{d(r^2)}{dt} = 2(n-1) \text{ which has the solution } r(t) = \sqrt{R_0^2 + 2(n-1)t}$$

So the solution expands out to infinity。

§ 04 Pinching(捏)

How the connected sum decomposition arises out of Ricci flow ?



Consider  $S^1 \times I$  ( $I$  is an interval) between two parts of a 2-manifolds ◦

$S^2 \times I$

期待 Ch 8 : The details of how the pinching off (by surgery 手術) actually happens will in Chapter 8 ◦

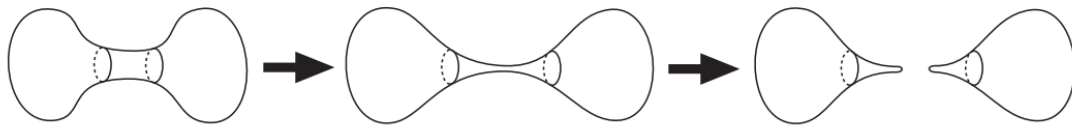


Figure 2.3: A neck “pinching off” in a 2-manifold. This diagram is intended to illustrate by lower-dimensional analogy what a neckpinch in a 3-manifold is like – the Ricci flow on 2-manifolds does not give rise to neckpinches.

The torus decomposition arises in a different way ◦

§ 05 Hamilton Ricci flow

$$\frac{\partial g}{\partial t} = (r - R(x,t))g(x,t) \dots (*) \quad x \in M, t > 0$$

**Theorem 1.1 (Hamilton).** *Let  $(M, g)$  be a compact oriented Riemannian surface.*

(1) *If  $M$  is not diffeomorphic to the 2-sphere  $S^2$ , then any metric  $g$  converges to a constant curvature metric under equation  $(*)$ .*

(2) *If  $M$  is diffeomorphic to  $S^2$ , then any metric  $g$  with positive Gaussian curvature on  $S^2$  converges to a metric of constant curvature under  $(*)$ .*

**Theorem 1.2.** *If  $g$  is any metric on  $S^2$ , then under Hamilton’s Ricci flow, the Gaussian curvature becomes positive in finite time.*

Combining the two theorems above yields:

**Corollary 1.3.** *If  $g$  is any metric on a Riemann surface, then under Hamilton’s Ricci flow,  $g$  converges to a metric of constant curvature.*

[[The Ricci flow on the 2-sphere](#)] Bennet Chow

1. An Illustrated introduction to the Ricci flow [Gabriel Khan](#) [[部落格](#)] 內有本書

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§ by [Nick Sheridan](#)

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