

String theory

For a more accessible and less technical introduction to this topic, see [Introduction to M-theory](#).

In physics, **string theory** is a theoretical framework in which the point-like particles of particle physics are replaced by one-dimensional objects called **strings**. It describes how these strings propagate through space and interact with each other. On distance scales larger than the string scale, a string looks just like an ordinary particle, with its mass, charge, and other properties determined by the vibrational state of the string. In string theory, one of the many vibrational states of the string corresponds to the **graviton**, a quantum mechanical particle that carries gravitational force. Thus string theory is a theory of quantum gravity.

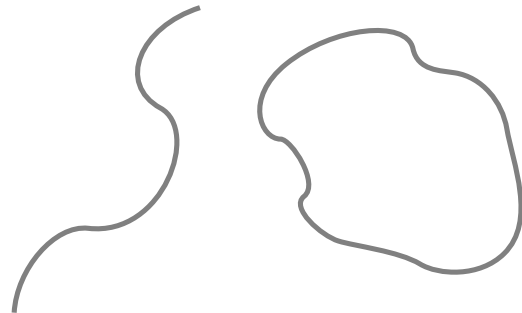
String theory is a broad and varied subject that attempts to address a number of deep questions of fundamental physics. String theory has been applied to a variety of problems in black hole physics, early universe cosmology, nuclear physics, and condensed matter physics, and it has stimulated a number of major developments in pure mathematics. Because string theory potentially provides a unified description of gravity and particle physics, it is a candidate for a theory of everything, a self-contained mathematical model that describes all fundamental forces and forms of matter. Despite much work on these problems, it is not known to what extent string theory describes the real world or how much freedom the theory allows to choose the details.

String theory was first studied in the late 1960s as a theory of the strong nuclear force, before being abandoned in favor of quantum chromodynamics. Subsequently, it was realized that the very properties that made string theory unsuitable as a theory of nuclear physics made it a promising candidate for a quantum theory of gravity. The earliest version of string theory, **bosonic string theory**, incorporated only the class of particles known as **bosons**. It later developed into **superstring theory**, which posits a connection called **supersymmetry** between bosons and the class of particles called **fermions**. Five consistent versions of superstring theory were developed before it was conjectured in the mid-1990s that they were all different limiting cases of a single theory in eleven dimensions known as **M-theory**. In late 1997, theorists discovered an important relationship called the **AdS/CFT correspondence**, which relates string theory to another type of physical theory called a **quantum field theory**.

One of the challenges of string theory is that the full the-

ory does not have a satisfactory definition in all circumstances. Another issue is that the theory is thought to describe an enormous **landscape** of possible universes, and this has complicated efforts to develop theories of particle physics based on string theory. These issues have led some in the community to criticize these approaches to physics and question the value of continued research on string theory unification.

1 Fundamentals



The fundamental objects of string theory are open and closed strings.

In the twentieth century, two theoretical frameworks emerged for formulating the laws of physics. One of these frameworks was **Albert Einstein's general theory of relativity**, a theory that explains the force of gravity and the structure of space and time. The other was **quantum mechanics**, a radically different formalism for describing physical phenomena using **probability**. By the late 1970s, these two frameworks had proven to be sufficient to explain most of the observed features of the **universe**, from **elementary particles** to **atoms** to the evolution of stars and the universe as a whole.^[1]

In spite of these successes, there are still many problems that remain to be solved. One of the deepest problems in modern physics is the problem of **quantum gravity**.^[1] The general theory of relativity is formulated within the framework of **classical physics**, whereas the other fundamental forces are described within the framework of quantum mechanics. A quantum theory of gravity is needed in order to reconcile general relativity with the principles of quantum mechanics, but difficulties arise when one attempts to apply the usual prescriptions of quantum theory to the force of gravity.^[2] In addition to

the problem of developing a consistent theory of quantum gravity, there are many other fundamental problems in the physics of atomic nuclei, black holes, and the early universe.^[lower-alpha 1]

String theory is a theoretical framework that attempts to address these questions and many others. The starting point for string theory is the idea that the point-like particles of particle physics can also be modeled as one-dimensional objects called strings. String theory describes how strings propagate through space and interact with each other. In a given version of string theory, there is only one kind of string, which may look like a small loop or segment of ordinary string, and it can vibrate in different ways. On distance scales larger than the string scale, a string will look just like an ordinary particle, with its mass, charge, and other properties determined by the vibrational state of the string. In this way, all of the different elementary particles may be viewed as vibrating strings. In string theory, one of the vibrational states of the string gives rise to the graviton, a quantum mechanical particle that carries gravitational force. Thus string theory is a theory of quantum gravity.^[3]

One of the main developments of the past several decades in string theory was the discovery of certain “dualities”, mathematical transformations that identify one physical theory with another. Physicists studying string theory have discovered a number of these dualities between different versions of string theory, and this has led to the conjecture that all consistent versions of string theory are subsumed in a single framework known as M-theory.^[4]

Studies of string theory have also yielded a number of results on the nature of black holes and the gravitational interaction. There are certain paradoxes that arise when one attempts to understand the quantum aspects of black holes, and work on string theory has attempted to clarify these issues. In late 1997 this line of work culminated in the discovery of the anti-de Sitter/conformal field theory correspondence or AdS/CFT.^[5] This is a theoretical result which relates string theory to other physical theories which are better understood theoretically. The AdS/CFT correspondence has implications for the study of black holes and quantum gravity, and it has been applied to other subjects, including nuclear^[6] and condensed matter physics.^{[7][8]}

Since string theory incorporates all of the fundamental interactions, including gravity, many physicists hope that it fully describes our universe, making it a theory of everything. One of the goals of current research in string theory is to find a solution of the theory that reproduces the observed spectrum of elementary particles, with a small cosmological constant, containing dark matter and a plausible mechanism for cosmic inflation. While there has been progress toward these goals, it is not known to what extent string theory describes the real world or how much freedom the theory allows to choose the details.^[9]

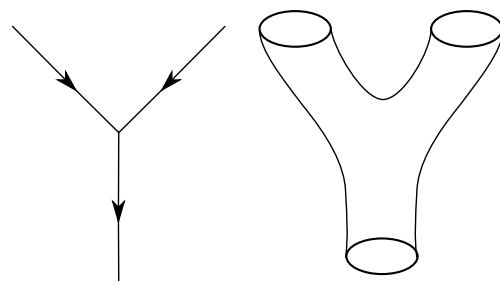
One of the challenges of string theory is that the full

theory does not have a satisfactory definition in all circumstances. The scattering of strings is most straightforwardly defined using the techniques of perturbation theory, but it is not known in general how to define string theory nonperturbatively.^[10] It is also not clear whether there is any principle by which string theory selects its vacuum state, the physical state that determines the properties of our universe.^[11] These problems have led some in the community to criticize these approaches to the unification of physics and question the value of continued research on these problems.^[12]

1.1 Strings

Main article: String (physics)

The application of quantum mechanics to physical ob-



Interaction in the quantum world: worldlines of point-like particles or a worldsheet swept up by closed strings in string theory.

jects such as the electromagnetic field, which are extended in space and time, is known as quantum field theory. In particle physics, quantum field theories form the basis for our understanding of elementary particles, which are modeled as excitations in the fundamental fields.^[13]

In quantum field theory, one typically computes the probabilities of various physical events using the techniques of perturbation theory. Developed by Richard Feynman and others in the first half of the twentieth century, perturbative quantum field theory uses special diagrams called Feynman diagrams to organize computations. One imagines that these diagrams depict the paths of point-like particles and their interactions.^[13]

The starting point for string theory is the idea that the point-like particles of quantum field theory can also be modeled as one-dimensional objects called strings.^[14] The interaction of strings is most straightforwardly defined by generalizing the perturbation theory used in ordinary quantum field theory. At the level of Feynman diagrams, this means replacing the one-dimensional diagram representing the path of a point particle by a two-dimensional surface representing the motion of a string.^[15] Unlike in quantum field theory, string theory

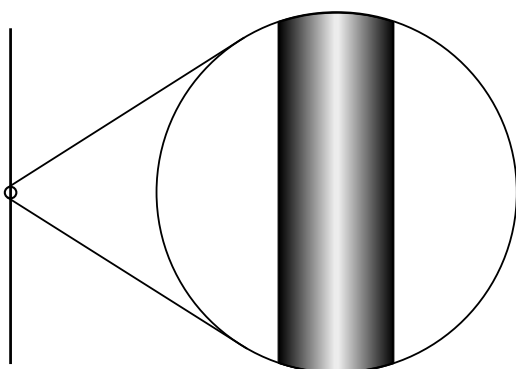
does not have a full non-perturbative definition, so many of the theoretical questions that physicists would like to answer remain out of reach.^[16]

In theories of particle physics based on string theory, the characteristic length scale of strings is assumed to be on the order of the **Planck length**, or 10^{-35} meters, the scale at which the effects of quantum gravity are believed to become significant.^[15] On much larger length scales, such as the scales visible in physics laboratories, such objects would be indistinguishable from zero-dimensional point particles, and the vibrational state of the string would determine the type of particle. One of the vibrational states of a string corresponds to the graviton, a quantum mechanical particle that carries the gravitational force.^[3]

The original version of string theory was **bosonic string theory**, but this version described only **bosons**, a class of particles which transmit forces between the matter particles, or **fermions**. Bosonic string theory was eventually superseded by theories called **superstring theories**. These theories describe both bosons and fermions, and they incorporate a theoretical idea called **supersymmetry**. This is a mathematical relation that exists in certain physical theories between the bosons and fermions. In theories with supersymmetry, each boson has a counterpart which is a fermion, and vice versa.^[17]

There are several versions of superstring theory: **type I**, **type IIA**, **type IIB**, and two flavors of **heterotic string theory** ($SO(32)$ and $E_8 \times E_8$). The different theories allow different types of strings, and the particles that arise at low energies exhibit different **symmetries**. For example, the type I theory includes both open strings (which are segments with endpoints) and closed strings (which form closed loops), while types IIA, IIB and heterotic include only closed strings.^[18]

1.2 Extra dimensions



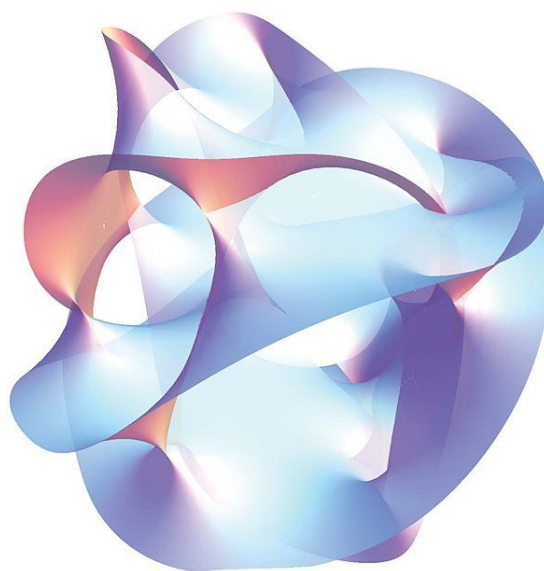
An example of compactification: At large distances, a two dimensional surface with one circular dimension looks one-dimensional.

In everyday life, there are three familiar dimensions of space: height, width and length. Einstein's general theory

of relativity treats time as a dimension on par with the three spatial dimensions; in general relativity, space and time are not modeled as separate entities but are instead unified to a four-dimensional **spacetime**. In this framework, the phenomenon of gravity is viewed as a consequence of the geometry of spacetime.^[19]

In spite of the fact that the universe is well described by four-dimensional spacetime, there are several reasons why physicists consider theories in other dimensions. In some cases, by modeling spacetime in a different number of dimensions, a theory becomes more mathematically tractable, and one can perform calculations and gain general insights more easily.^[lower-alpha 2] There are also situations where theories in two or three spacetime dimensions are useful for describing phenomena in condensed matter physics.^[20] Finally, there exist scenarios in which there could actually be more than four dimensions of spacetime which have nonetheless managed to escape detection.^[21]

One notable feature of string theories is that these theories require **extra dimensions** of spacetime for their mathematical consistency. In bosonic string theory, spacetime is 26-dimensional, while in superstring theory it is 10-dimensional, and in **M-theory** it is 11-dimensional. In order to describe real physical phenomena using string theory, one must therefore imagine scenarios in which these extra dimensions would not be observed in experiments.^[22]



A cross section of a quintic Calabi-Yau manifold

Compactification is one way of modifying the number of dimensions in a physical theory. In compactification, some of the extra dimensions are assumed to “close up” on themselves to form circles.^[23] In the limit where these curled up dimensions become very small, one obtains a theory in which spacetime has effectively a lower number of dimensions. A standard analogy for this is to consider a multidimensional object such as a garden hose. If the

hose is viewed from a sufficient distance, it appears to have only one dimension, its length. However, as one approaches the hose, one discovers that it contains a second dimension, its circumference. Thus, an ant crawling on the surface of the hose would move in two dimensions.^[24]

Compactification can be used to construct models in which spacetime is effectively four-dimensional. However, not every way of compactifying the extra dimensions produces a model with the right properties to describe nature. In a viable model of particle physics, the compact extra dimensions must be shaped like a **Calabi–Yau manifold**.^[23] A Calabi–Yau manifold is a special space which is typically taken to be six-dimensional in applications to string theory. It is named after mathematicians **Eugenio Calabi** and **Shing-Tung Yau**.^[25]

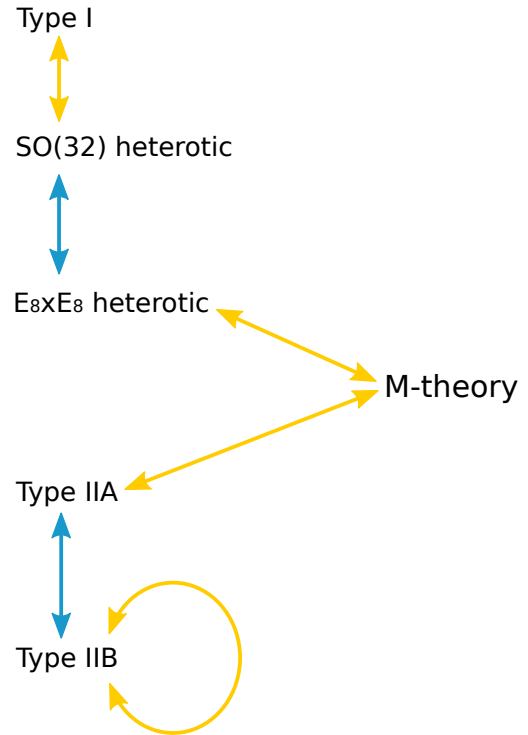
Another approach to reducing the number of dimensions is the so-called **brane-world** scenario. In this approach, physicists assume that the observable universe is a four-dimensional subspace of a higher dimensional space. In such models, the force-carrying bosons of particle physics arise from open strings with endpoints attached to the four-dimensional subspace, while gravity arises from closed strings propagating through the larger ambient space. This idea plays an important role in attempts to develop models of real world physics based on string theory, and it provides a natural explanation for the weakness of gravity compared to the other fundamental forces.^[26]

1.3 Dualities

Main articles: **S-duality** and **T-duality**

One notable fact about string theory is that the different versions of the theory all turn out to be related in highly nontrivial ways. One of the relationships that can exist between different string theories is called **S-duality**. This is a relationship which says that a collection of strongly interacting particles in one theory can, in some cases, be viewed as a collection of weakly interacting particles in a completely different theory. Roughly speaking, a collection of particles is said to be strongly interacting if they combine and decay often and weakly interacting if they do so infrequently. Type I string theory turns out to be equivalent by S-duality to the $SO(32)$ heterotic string theory. Similarly, type IIB string theory is related to itself in a nontrivial way by S-duality.^[27]

Another relationship between different string theories is **T-duality**. Here one considers strings propagating around a circular extra dimension. T-duality states that a string propagating around a circle of radius R is equivalent to a string propagating around a circle of radius $1/R$ in the sense that all observable quantities in one description are identified with quantities in the dual description. For example, a string has **momentum** as it propagates around a circle, and it can also wind around the circle one or more



A diagram of string theory dualities. Yellow arrows indicate *S-duality*. Blue arrows indicate *T-duality*.

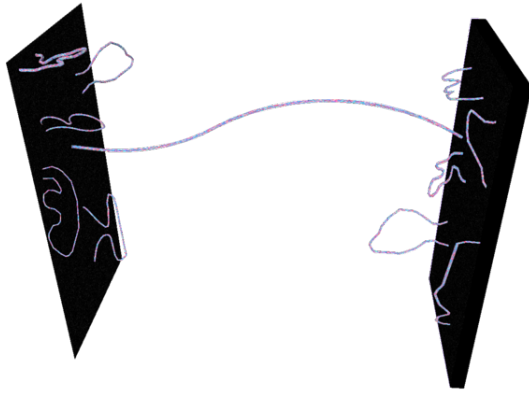
times. The number of times the string winds around a circle is called the **winding number**. If a string has momentum p and winding number n in one description, it will have momentum n and winding number p in the dual description. For example, type IIA string theory is equivalent to type IIB string theory via T-duality, and the two versions of heterotic string theory are also related by T-duality.^[27]

In general, the term *duality* refers to a situation where two seemingly different **physical systems** turn out to be equivalent in a nontrivial way. Two theories related by a duality need not be string theories. For example, **Montonen–Olive duality** is example of an S-duality relationship between quantum field theories. The AdS/CFT correspondence is example of a duality which relates string theory to a quantum field theory. If two theories are related by a duality, it means that one theory can be transformed in some way so that it ends up looking just like the other theory. The two theories are then said to be *dual* to one another under the transformation. Put differently, the two theories are mathematically different descriptions of the same phenomena.^[28]

1.4 Branes

Main article: **Brane**

In string theory and related theories, a **brane** is a physical object that generalizes the notion of a point particle to



Open strings attached to a pair of D-branes

higher dimensions. For example, a point particle can be viewed as a brane of dimension zero, while a string can be viewed as a brane of dimension one. It is also possible to consider higher-dimensional branes. In dimension p , these are called p -branes. The word brane comes from the word “membrane” which refers to a two-dimensional brane.^[29]

Branes are dynamical objects which can propagate through spacetime according to the rules of quantum mechanics. They have mass and can have other attributes such as charge. A p -brane sweeps out a $(p+1)$ -dimensional volume in spacetime called its *worldvolume*. Physicists often study *fields* analogous to the electromagnetic field which live on the worldvolume of a brane.^[29]

In string theory, **D-branes** are an important class of branes that arise when one considers open strings. As an open string propagates through spacetime, its endpoints are required to lie on a D-brane. The letter “D” in D-brane refers to a certain mathematical condition on the system known as the **Dirichlet boundary condition**. The study of D-branes in string theory has led to important results such as the AdS/CFT correspondence, which has shed light on many problems in quantum field theory.^[30]

Branes are also frequently studied from a purely mathematical point of view. Mathematically, branes can be described as objects of certain *categories*, such as the *derived category of coherent sheaves* on a complex algebraic variety, or the *Fukaya category* of a symplectic manifold.^[31] The connection between the physical notion of a brane and the mathematical notion of a category has led to important mathematical insights in the fields of algebraic and symplectic geometry^[32] and representation theory.^[33]

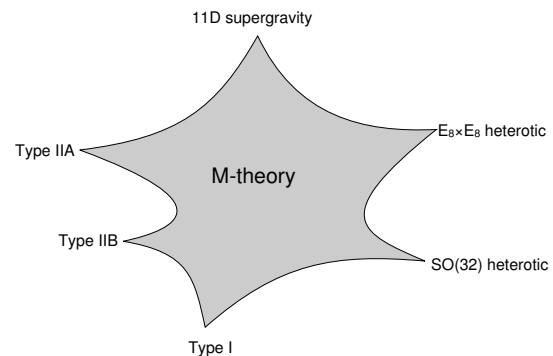
2 M-theory

Main article: **M-theory**

Prior to 1995, theorists believed that there were five con-

sistent versions of superstring theory (type I, type IIA, type IIB, and two versions of heterotic string theory). This understanding changed in 1995 when **Edward Witten** suggested that the five theories were just special limiting cases of an eleven-dimensional theory called **M-theory**. Witten’s conjecture was based on the work of a number of other physicists, including **Ashoke Sen**, **Chris Hull**, **Paul Townsend**, and **Michael Duff**. His announcement led to a flurry of research activity now known as the **second superstring revolution**.^[34]

2.1 Unification of superstring theories



A schematic illustration of the relationship between **M-theory**, the five *superstring theories*, and eleven-dimensional *supergravity*. The shaded region represents a family of different physical scenarios that are possible in **M-theory**. In certain limiting cases corresponding to the cusps, it is natural to describe the physics using one of the six theories labeled there.

In the 1970s, many physicists became interested in **supergravity** theories, which combine general relativity with supersymmetry. Whereas general relativity makes sense in any number of dimensions, supergravity places an upper limit on the number of dimensions.^[35] In 1978, work by **Werner Nahm** showed that the maximum space-time dimension in which one can formulate a consistent supersymmetric theory is eleven.^[36] In the same year, **Eugene Cremmer**, **Bernard Julia**, and **Joel Scherk** of the *École Normale Supérieure* showed that supergravity not only permits up to eleven dimensions but is in fact most elegant in this maximal number of dimensions.^{[37][38]}

Initially, many physicists hoped that by compactifying eleven-dimensional supergravity, it might be possible to construct realistic models of our four-dimensional world. The hope was that such models would provide a unified description of the four fundamental forces of nature: electromagnetism, the **strong** and **weak nuclear forces**, and gravity. Interest in eleven-dimensional supergravity soon waned as various flaws in this scheme were discovered. One of the problems was that the laws of physics appear to distinguish between clockwise and counterclockwise, a phenomenon known as **chirality**. **Edward Witten** and others observed this chirality property

cannot be readily derived by compactifying from eleven dimensions.^[38]

In the **first superstring revolution** in 1984, many physicists turned to string theory as a unified theory of particle physics and quantum gravity. Unlike supergravity theory, string theory was able to accommodate the chirality of the standard model, and it provided a theory of gravity consistent with quantum effects.^[38] Another feature of string theory that many physicists were drawn to in the 1980s and 1990s was its high degree of uniqueness. In ordinary particle theories, one can consider any collection of elementary particles whose classical behavior is described by an arbitrary **Lagrangian**. In string theory, the possibilities are much more constrained: by the 1990s, physicists had argued that there were only five consistent supersymmetric versions of the theory.^[38]

Although there were only a handful of consistent superstring theories, it remained a mystery why there was not just one consistent formulation.^[38] However, as physicists began to examine string theory more closely, they realized that these theories are related in intricate and non-trivial ways. They found that a system of strongly interacting strings can, in some cases, be viewed as a system of weakly interacting strings. This phenomenon is known as S-duality. It was studied by Ashoke Sen in the context of heterotic strings in four dimensions^{[39][40]} and by Chris Hull and Paul Townsend in the context of the type IIB theory.^[41] Theorists also found that different string theories may be related by T-duality. This duality implies that strings propagating on completely different spacetime geometries may be physically equivalent.^[42]

At around the same time, as many physicists were studying the properties of strings, a small group of physicists was examining the possible applications of higher dimensional objects. In 1987, Eric Bergshoeff, Ergin Sezgin, and Paul Townsend showed that eleven-dimensional supergravity includes two-dimensional branes.^[43] Intuitively, these objects look like sheets or membranes propagating through the eleven-dimensional spacetime. Shortly after this discovery, **Michael Duff**, Paul Howe, Takeo Inami, and Kellogg Stelle considered a particular compactification of eleven-dimensional supergravity with one of the dimensions curled up into a circle.^[44] In this setting, one can imagine the membrane wrapping around the circular dimension. If the radius of the circle is sufficiently small, then this membrane looks just like a string in ten-dimensional spacetime. In fact, Duff and his collaborators showed that this construction reproduces exactly the strings appearing in type IIA superstring theory.^[45]

Speaking at a string theory conference in 1995, Edward Witten made the surprising suggestion that all five superstring theories were in fact just different limiting cases of a single theory in eleven spacetime dimensions. Witten's announcement drew together all of the previous results on S- and T-duality and the appearance of higher dimen-

sional branes in string theory.^[46] In the months following Witten's announcement, hundreds of new papers appeared on the Internet confirming different parts of his proposal.^[47] Today this flurry of work is known as the second superstring revolution.^[48]

Initially, some physicists suggested that the new theory was a fundamental theory of membranes, but Witten was skeptical of the role of membranes in the theory. In a paper from 1996, Hořava and Witten wrote "As it has been proposed that the eleven-dimensional theory is a supermembrane theory but there are some reasons to doubt that interpretation, we will non-committally call it the M-theory, leaving to the future the relation of M to membranes."^[49] In the absence of an understanding of the true meaning and structure of M-theory, Witten has suggested that the *M* should stand for "magic", "mystery", or "membrane" according to taste, and the true meaning of the title should be decided when a more fundamental formulation of the theory is known.^[50]

2.2 Matrix theory

Main article: **Matrix theory (physics)**

In mathematics, a **matrix** is a rectangular array of numbers or other data. In physics, a **matrix model** is a particular kind of physical theory whose mathematical formulation involves the notion of a matrix in an important way. A matrix model describes the behavior of a set of matrices within the framework of quantum mechanics.^[51]

One important example of a matrix model is the BFSS matrix model proposed by **Tom Banks**, **Willy Fischler**, **Stephen Shenker**, and **Leonard Susskind** in 1997. This theory describes the behavior of a set of nine large matrices. In their original paper, these authors showed, among other things, that the low energy limit of this matrix model is described by eleven-dimensional supergravity. These calculations led them to propose that the BFSS matrix model is exactly equivalent to M-theory. The BFSS matrix model can therefore be used as a prototype for a correct formulation of M-theory and a tool for investigating the properties of M-theory in a relatively simple setting.^[51]

The development of the matrix model formulation of M-theory has led physicists to consider various connections between string theory and a branch of mathematics called **noncommutative geometry**. This subject is a generalization of ordinary geometry in which mathematicians define new geometric notions using tools from **noncommutative algebra**.^[52] In a paper from 1998, **Alain Connes**, **Michael R. Douglas**, and **Albert Schwarz** showed that some aspects of matrix models and M-theory are described by a **noncommutative quantum field theory**, a special kind of physical theory in which spacetime is described mathematically using noncommutative geometry.^[53] This established a link between matrix

models and M-theory on the one hand, and noncommutative geometry on the other hand. It quickly led to the discovery of other important links between noncommutative geometry and various physical theories.^{[54][55]}

3 Black holes

In general relativity, a black hole is defined as a region of spacetime in which the gravitational field is so strong that no particle or radiation can escape. In the currently accepted models of stellar evolution, black holes are thought to arise when massive stars undergo gravitational collapse, and many galaxies are thought to contain supermassive black holes at their centers. Black holes are also important for theoretical reasons, as they present profound challenges for theorists attempting to understand the quantum aspects of gravity. String theory has proved to be an important tool for investigating the theoretical properties of black holes because it provides a framework in which theorists can study their thermodynamics.^[56]

3.1 Bekenstein–Hawking formula

In the branch of physics called statistical mechanics, entropy is a measure of the randomness or disorder of a physical system. This concept was studied in the 1870s by the Austrian physicist Ludwig Boltzmann, who showed that the thermodynamic properties of a gas could be derived from the combined properties of its many constituent molecules. Boltzmann argued that by averaging the behaviors of all the different molecules in a gas, one can understand macroscopic properties such as volume, temperature, and pressure. In addition, this perspective led him to give a precise definition of entropy as the natural logarithm of the number of different states of the molecules (also called *microstates*) that give rise to the same macroscopic features.^[57]

In the twentieth century, physicists began to apply the same concepts to black holes. In most systems such as gases, the entropy scales with the volume. In the 1970s, the physicist Jacob Bekenstein suggested that the entropy of a black hole is instead proportional to the surface area of its event horizon, the boundary beyond which matter and radiation is lost to its gravitational attraction.^[58] When combined with ideas of the physicist Stephen Hawking,^[59] Bekenstein’s work yielded a precise formula for the entropy of a black hole. The Bekenstein–Hawking formula expresses the entropy S as

$$S = \frac{c^3 k A}{4 \hbar G}$$

where c is the speed of light, k is Boltzmann’s constant, \hbar is the reduced Planck constant, G is Newton’s constant, and A is the surface area of the event horizon.^[60]

Like any physical system, a black hole has an entropy defined in terms of the number of different microstates that lead to the same macroscopic features. The Bekenstein–Hawking entropy formula gives the expected value of the entropy of a black hole, but by the 1990s, physicists still lacked a derivation of this formula by counting microstates in a theory of quantum gravity. Finding such a derivation of this formula was considered an important test of the viability of any theory of quantum gravity such as string theory.^[61]

3.2 Derivation within string theory

In a paper from 1996, Andrew Strominger and Cumrun Vafa showed how to derive the Bekenstein–Hawking formula for certain black holes in string theory.^[62] Their calculation was based on the observation that D-branes—which look like fluctuating membranes when they are weakly interacting—become dense, massive objects with event horizons when the interactions are strong. In other words, a system of strongly interacting D-branes in string theory is indistinguishable from a black hole. Strominger and Vafa analyzed such D-brane systems and calculated the number of different ways of placing D-branes in spacetime so that their combined mass and charge is equal to a given mass and charge for the resulting black hole. Their calculation reproduced the Bekenstein–Hawking formula exactly, including the factor of $1/4$.^[63] Subsequent work by Strominger, Vafa, and others refined the original calculations and gave the precise values of the “quantum corrections” needed to describe very small black holes.^{[64][65]}

The black holes that Strominger and Vafa considered in their original work were quite different from real astrophysical black holes. One difference was that Strominger and Vafa considered only extremal black holes in order to make the calculation tractable. These are defined as black holes with the lowest possible mass compatible with a given charge.^[66] Strominger and Vafa also restricted attention to black holes in five-dimensional spacetime with unphysical supersymmetry.^[67]

Although it was originally developed in this very particular and physically unrealistic context in string theory, the entropy calculation of Strominger and Vafa has led to a qualitative understanding of how black hole entropy can be accounted for in any theory of quantum gravity. Indeed, in 1998, Strominger argued that the original result could be generalized to an arbitrary consistent theory of quantum gravity without relying on strings or supersymmetry.^[68] In collaboration with several other authors in 2010, he showed that some results on black hole entropy could be extended to non-extremal astrophysical black holes.^{[69][70]}

4 AdS/CFT correspondence

Main article: [AdS/CFT correspondence](#)

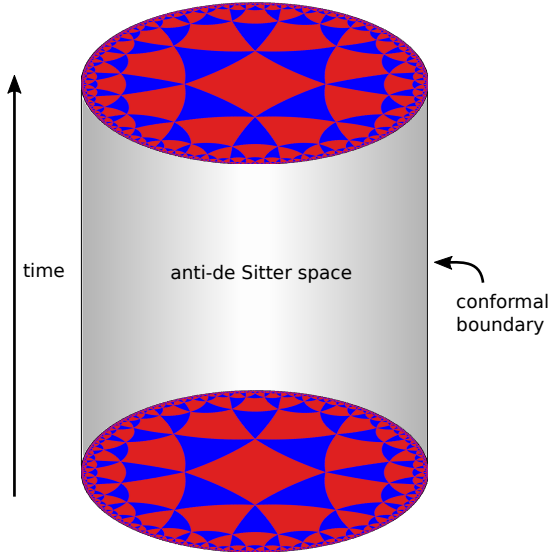
One approach to formulating string theory and studying its properties is provided by the anti-de Sitter/conformal field theory (AdS/CFT) correspondence. This is a theoretical result which implies that string theory is in some cases equivalent to a quantum field theory. In addition to providing insights into the mathematical structure of string theory, the AdS/CFT correspondence has shed light on many aspects of quantum field theory in regimes where traditional calculational techniques are ineffective.^[6] The AdS/CFT correspondence was first proposed by Juan Maldacena in late 1997.^[71] Important aspects of the correspondence were elaborated in articles by Steven Gubser, Igor Klebanov, and Alexander Markovich Polyakov,^[72] and by Edward Witten.^[73] By 2010, Maldacena’s article had over 7000 citations, becoming the most highly cited article in the field of high energy physics.^[lower-alpha 3]

4.1 Overview of the correspondence

In the AdS/CFT correspondence, the geometry of spacetime is described in terms of a certain vacuum solution of Einstein’s equation called anti-de Sitter space.^[74] In very elementary terms, anti-de Sitter space is a mathematical model of spacetime in which the notion of distance between points (the metric) is different from the notion of distance in ordinary Euclidean geometry. It is closely related to hyperbolic space, which can be viewed as a disk as illustrated on the left.^[75] This image shows a tessellation of a disk by triangles and squares. One can define the distance between points of this disk in such a way that all the triangles and squares are the same size and the circular outer boundary is infinitely far from any point in the interior.^[76]

One can imagine a stack of hyperbolic disks where each disk represents the state of the universe at a given time. The resulting geometric object is three-dimensional anti-de Sitter space.^[75] It looks like a solid cylinder in which any cross section is a copy of the hyperbolic disk. Time runs along the vertical direction in this picture. The surface of this cylinder plays an important role in the AdS/CFT correspondence. As with the hyperbolic plane, anti-de Sitter space is curved in such a way that any point in the interior is actually infinitely far from this boundary surface.^[76]

This construction describes a hypothetical universe with only two space dimensions and one time dimension, but it can be generalized to any number of dimensions. Indeed, hyperbolic space can have more than two dimensions and one can “stack up” copies of hyperbolic space to get higher-dimensional models of anti-de Sitter space.^[75]



Three-dimensional anti-de Sitter space is like a stack of hyperbolic disks, each one representing the state of the universe at a given time. The resulting spacetime looks like a solid cylinder.

An important feature of anti-de Sitter space is its boundary (which looks like a cylinder in the case of three-dimensional anti-de Sitter space). One property of this boundary is that, within a small region on the surface around any given point, it looks just like Minkowski space, the model of spacetime used in nongravitational physics.^[77] One can therefore consider an auxiliary theory in which “spacetime” is given by the boundary of anti-de Sitter space. This observation is the starting point for AdS/CFT correspondence, which states that the boundary of anti-de Sitter space can be regarded as the “spacetime” for a quantum field theory. The claim is that this quantum field theory is equivalent to a gravitational theory, such as string theory, in the bulk anti-de Sitter space in the sense that there is a “dictionary” for translating entities and calculations in one theory into their counterparts in the other theory. For example, a single particle in the gravitational theory might correspond to some collection of particles in the boundary theory. In addition, the predictions in the two theories are quantitatively identical so that if two particles have a 40 percent chance of colliding in the gravitational theory, then the corresponding collections in the boundary theory would also have a 40 percent chance of colliding.^[78]

4.2 Applications to quantum gravity

The discovery of the AdS/CFT correspondence was a major advance in physicists’ understanding of string theory and quantum gravity. One reason for this is that the correspondence provides a formulation of string theory in terms of quantum field theory, which is well understood by comparison. Another reason is that it provides a general framework in which physicists can study and attempt

to resolve the paradoxes of black holes.^[56]

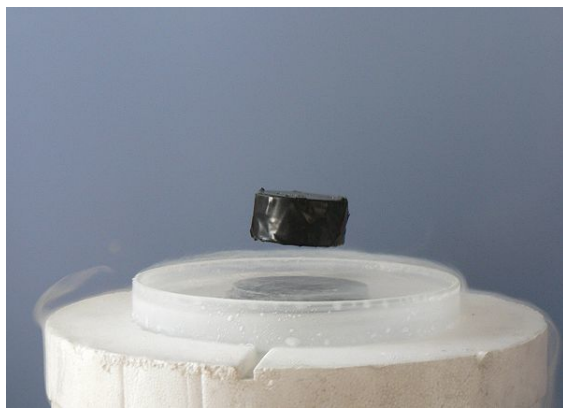
In 1975, Stephen Hawking published a calculation which suggested that black holes are not completely black but emit a dim radiation due to quantum effects near the event horizon.^[59] At first, Hawking's result posed a problem for theorists because it suggested that black holes destroy information. More precisely, Hawking's calculation seemed to conflict with one of the basic postulates of quantum mechanics, which states that physical systems evolve in time according to the Schrödinger equation. This property is usually referred to as unitarity of time evolution. The apparent contradiction between Hawking's calculation and the unitarity postulate of quantum mechanics came to be known as the black hole information paradox.^[79]

The AdS/CFT correspondence resolves the black hole information paradox, at least to some extent, because it shows how a black hole can evolve in a manner consistent with quantum mechanics in some contexts. Indeed, one can consider black holes in the context of the AdS/CFT correspondence, and any such black hole corresponds to a configuration of particles on the boundary of anti-de Sitter space.^[80] These particles obey the usual rules of quantum mechanics and in particular evolve in a unitary fashion, so the black hole must also evolve in a unitary fashion, respecting the principles of quantum mechanics.^[81] In 2005, Hawking announced that the paradox had been settled in favor of information conservation by the AdS/CFT correspondence, and he suggested a concrete mechanism by which black holes might preserve information.^[82]

4.3 Applications to nuclear physics

Main article: AdS/QCD correspondence

In addition to its applications to theoretical problems in



A magnet levitating above a high-temperature superconductor. Today some physicists are working to understand high-temperature superconductivity using the AdS/CFT correspondence.^[7]

quantum gravity, the AdS/CFT correspondence has been applied to a variety of problems in quantum field theory. One physical system that has been studied using the

AdS/CFT correspondence is the quark–gluon plasma, an exotic state of matter produced in particle accelerators. This state of matter arises for brief instants when heavy ions such as gold or lead nuclei are collided at high energies. Such collisions cause the quarks that make up atomic nuclei to deconfine at temperatures of approximately two trillion kelvins, conditions similar to those present at around 10^{-11} seconds after the Big Bang.^[83]

The physics of the quark–gluon plasma is governed by a theory called quantum chromodynamics, but this theory is mathematically intractable in problems involving the quark–gluon plasma.^[lower-alpha 4] In an article appearing in 2005, Đàm Thanh Sơn and his collaborators showed that the AdS/CFT correspondence could be used to understand some aspects of the quark–gluon plasma by describing it in the language of string theory.^[84] By applying the AdS/CFT correspondence, Sơn and his collaborators were able to describe the quark gluon plasma in terms of black holes in five-dimensional spacetime. The calculation showed that the ratio of two quantities associated with the quark–gluon plasma, the shear viscosity and volume density of entropy, should be approximately equal to a certain universal constant. In 2008, the predicted value of this ratio for the quark–gluon plasma was confirmed at the Relativistic Heavy Ion Collider at Brookhaven National Laboratory.^{[85][86]}

4.4 Applications to condensed matter physics

Main article: AdS/CMT correspondence

The AdS/CFT correspondence has also been used to study aspects of condensed matter physics. Over the decades, experimental condensed matter physicists have discovered a number of exotic states of matter, including superconductors and superfluids. These states are described using the formalism of quantum field theory, but some phenomena are difficult to explain using standard field theoretic techniques. Some condensed matter theorists including Subir Sachdev hope that the AdS/CFT correspondence will make it possible to describe these systems in the language of string theory and learn more about their behavior.^[85]

So far some success has been achieved in using string theory methods to describe the transition of a superfluid to an insulator. A superfluid is a system of electrically neutral atoms that flows without any friction. Such systems are often produced in the laboratory using liquid helium, but recently experimentalists have developed new ways of producing artificial superfluids by pouring trillions of cold atoms into a lattice of criss-crossing lasers. These atoms initially behave as a superfluid, but as experimentalists increase the intensity of the lasers, they become less mobile and then suddenly transition to an insulating state. During the transition, the atoms behave in an unusual way. For

example, the atoms slow to a halt at a rate that depends on the **temperature** and on **Planck's constant**, the fundamental parameter of quantum mechanics, which does not enter into the description of the other **phases**. This behavior has recently been understood by considering a dual description where properties of the fluid are described in terms of a higher dimensional black hole.^[87]

5 Phenomenology

Main article: **String phenomenology**

In addition to being an idea of considerable theoretical interest, string theory provides a framework for constructing models of real world physics that combine general relativity and particle physics. **Phenomenology** is the branch of theoretical physics in which physicists construct realistic models of nature from more abstract theoretical ideas. **String phenomenology** is the part of string theory that attempts to construct realistic or semi-realistic models based on string theory.

Partly because of theoretical and mathematical difficulties and partly because of the extremely high energies needed to test these theories experimentally, there is so far no experimental evidence that would unambiguously point to any of these models being a correct fundamental description of nature. This has led some in the community to criticize these approaches to unification and question the value of continued research on these problems.^[12]

5.1 Particle physics

The currently accepted theory describing elementary particles and their interactions is known as the **standard model of particle physics**. This theory provides a unified description of three of the fundamental forces of nature: electromagnetism and the strong and weak nuclear forces. Despite its remarkable success in explaining a wide range of physical phenomena, the standard model cannot be a complete description of reality. This is because the standard model fails to incorporate the force of gravity and because of problems such as the **hierarchy problem** and the inability to explain the structure of fermion masses or dark matter.

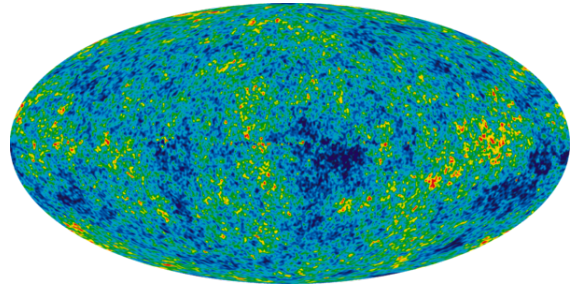
String theory has been used to construct a variety of models of particle physics going beyond the standard model. Typically, such models are based on the idea of compactification. Starting with the ten- or eleven-dimensional spacetime of string or M-theory, physicists postulate a shape for the extra dimensions. By choosing this shape appropriately, they can construct models roughly similar to the standard model of particle physics, together with additional undiscovered particles.^[88] One popular way of deriving realistic physics from string theory is to start with

the heterotic theory in ten dimensions and assume that the six extra dimensions of spacetime are shaped like a six-dimensional Calabi–Yau manifold. Such compactifications offer many ways of extracting realistic physics from string theory. Other similar methods can be used to construct realistic or semi-realistic models of our four-dimensional world based on M-theory.^[89]

5.2 Cosmology

Main article: **String cosmology**

The Big Bang theory is the prevailing **cosmological**



A map of the cosmic microwave background produced by the Wilkinson Microwave Anisotropy Probe

model for the universe from the earliest known periods through its subsequent large-scale evolution. Despite its success in explaining many observed features of the universe including galactic **redshifts**, the relative abundance of light elements such as **hydrogen** and **helium**, and the existence of a **cosmic microwave background**, there are several questions that remain unanswered. For example, the standard Big Bang model does not explain why the universe appears to be same in all directions, why it appears flat on very large distance scales, or why certain hypothesized particles such as **magnetic monopoles** are not observed in experiments.^[90]

Currently, the leading candidate for a theory going beyond the Big Bang is the theory of cosmic inflation. Developed by **Alan Guth** and others in the 1980s, inflation postulates a period of extremely rapid accelerated expansion of the universe prior to the expansion described by the standard Big Bang theory. The theory of cosmic inflation preserves the successes of the Big Bang while providing a natural explanation for some of the mysterious features of the universe.^[91] The theory has also received striking support from observations of the cosmic microwave background, the radiation that has filled the sky since around 380,000 years after the Big Bang.^[92]

In the theory of inflation, the rapid initial expansion of the universe is caused by a hypothetical particle called the **inflaton**. The exact properties of this particle are not fixed by the theory but should ultimately be derived from a more fundamental theory such as string theory.^[93] Indeed, there have been a number of attempts to identify an inflaton within the spectrum of particles described by

string theory, and to study inflation using string theory. While these approaches might eventually find support in observational data such as measurements of the cosmic microwave background, the application of string theory to cosmology is still in its early stages.^[94]

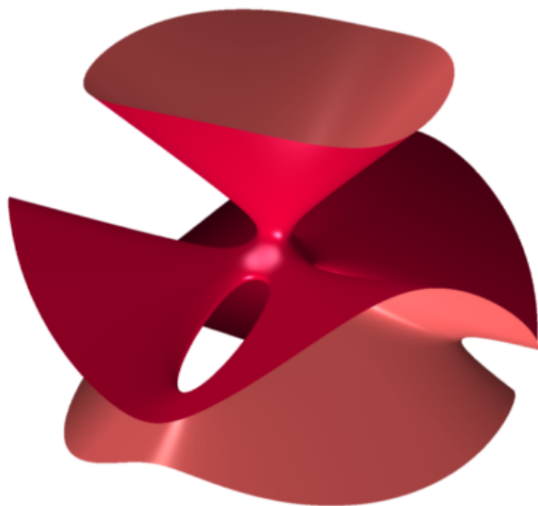
6 Connections to mathematics

In addition to influencing research in **theoretical physics**, string theory has stimulated a number of major developments in **pure mathematics**. Like many developing ideas in theoretical physics, string theory does not at present have a **mathematically rigorous** formulation in which all of its concepts can be defined precisely. As a result, physicists who study string theory are often guided by physical intuition to conjecture relationships between the seemingly different mathematical structures that are used to formalize different parts of the theory. These conjectures are later proved by mathematicians, and in this way, string theory serves as a source of new ideas in pure mathematics.^[95]

6.1 Mirror symmetry

Main article: [Mirror symmetry \(string theory\)](#)

After Calabi–Yau manifolds had entered physics as a way



The Clebsch cubic is an example of a kind of geometric object called an algebraic variety. A classical result of enumerative geometry states that there are exactly 27 straight lines that lie entirely on this surface.

to compactify extra dimensions in string theory, many physicists began studying these manifolds. In the late 1980s, several physicists noticed that given such a compactification of string theory, it is not possible to reconstruct uniquely a corresponding Calabi–Yau manifold.^[96] Instead, two different versions of string theory, type IIA and type IIB, can be compactified on completely different

Calabi–Yau manifolds giving rise to the same physics. In this situation, the manifolds are called mirror manifolds, and the relationship between the two physical theories is called **mirror symmetry**.^[97]

Regardless of whether Calabi–Yau compactifications of string theory provide a correct description of nature, the existence of the mirror duality between different string theories has significant mathematical consequences. The Calabi–Yau manifolds used in string theory are of interest in pure mathematics, and mirror symmetry allows mathematicians to solve problems in **enumerative geometry**, a branch of mathematics concerned with counting the numbers of solutions to geometric questions.^{[31][98]}

Enumerative geometry studies a class of geometric objects called **algebraic varieties** which are defined by the vanishing of **polynomials**. For example, the Clebsch cubic illustrated on the right is an algebraic variety defined using a certain polynomial of **degree** three in four variables. A celebrated result of nineteenth-century mathematicians **Arthur Cayley** and **George Salmon** states that there are exactly 27 straight lines that lie entirely on such a surface.^[99]

Generalizing this problem, one can ask how many lines can be drawn on a quintic Calabi–Yau manifold, such as the one illustrated above, which is defined by a polynomial of degree five. This problem was solved by the nineteenth-century German mathematician **Hermann Schubert**, who found that there are exactly 2,875 such lines. In 1986, geometer Sheldon Katz proved that the number of curves, such as circles, that are defined by polynomials of degree two and lie entirely in the quintic is 609,250.^[100]

By the year 1991, most of the classical problems of enumerative geometry had been solved and interest in enumerative geometry had begun to diminish.^[101] The field was reinvigorated in May 1991 when physicists **Philip Candelas**, **Xenia de la Ossa**, **Paul Green**, and **Linda Parks** showed that mirror symmetry could be used to translate difficult mathematical questions about one Calabi–Yau manifold into easier questions about its mirror.^[102] In particular, they used mirror symmetry to show that a six-dimensional Calabi–Yau manifold can contain exactly 317,206,375 curves of degree three.^[101] In addition to counting degree-three curves, Candelas and his collaborators obtained a number of more general results for counting rational curves which went far beyond the results obtained by mathematicians.^[103]

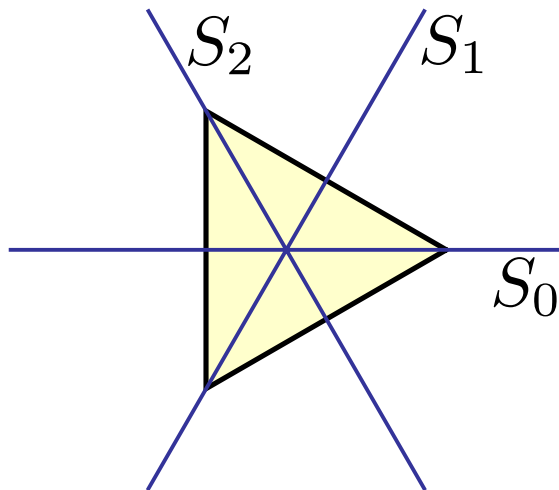
Originally, these results of Candelas were justified on physical grounds. However, mathematicians generally prefer rigorous proofs that do not require an appeal to physical intuition. Inspired by physicists' work on mirror symmetry, mathematicians have therefore constructed their own arguments proving the enumerative predictions of mirror symmetry.^[lower-alpha 5] Today mirror symmetry is an active area of research in mathematics, and mathematicians are working to develop a more complete

mathematical understanding of mirror symmetry based on physicists' intuition.^[104] Major approaches to mirror symmetry include the **homological mirror symmetry** program of **Maxim Kontsevich**^[32] and the **SYZ conjecture** of **Andrew Strominger**, **Shing-Tung Yau**, and **Eric Zaslow**.^[105]

6.2 Monstrous moonshine

Main article: **Monstrous moonshine**

Group theory is the branch of mathematics that studies



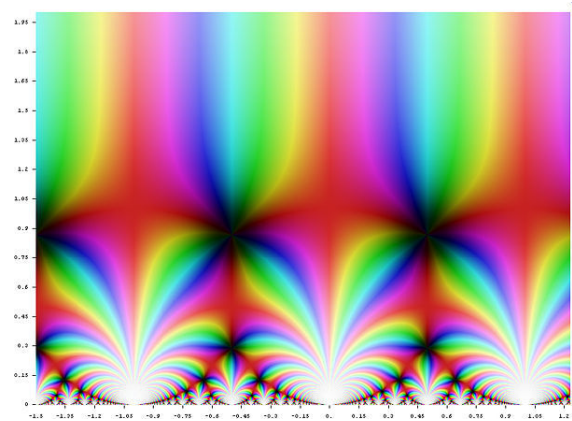
An equilateral triangle can be rotated through 120° , 240° , or 360° , or reflected in any of the three lines pictured without changing its shape.

the concept of **symmetry**. For example, one can consider a geometric shape such as an equilateral triangle. There are various operations that one can perform on this triangle without changing its shape. One can rotate it through 120° , 240° , or 360° , or one can reflect in any of the lines labeled S_0 , S_1 , or S_2 in the picture. Each of these operations is called a **symmetry**, and the collection of these symmetries satisfies certain technical properties making it into what mathematicians call a **group**. In this particular example, the group is known as the **dihedral group of order 6** because it has six elements. A general group may describe finitely many or infinitely many symmetries; if there are only finitely many symmetries, it is called a **finite group**.^[106]

Mathematicians often strive for a **classification** (or list) of all mathematical objects of a given type. It is generally believed that finite groups are too diverse to admit a useful classification. A more modest but still challenging problem is to classify all finite **simple groups**. These are finite groups which may be used as building blocks for constructing arbitrary finite groups in the same way that **prime numbers** can be used to construct arbitrary **whole numbers** by taking products.^[lower-alpha 6] One of the major achievements of contemporary group theory is the **classification of finite simple groups**, a mathematical the-

orem which provides a list of all possible finite simple groups.^[107]

This classification theorem identifies several infinite families of groups as well as 26 additional groups which do not fit into any family. The latter groups are called the “sporadic” groups, and each one owes its existence to a remarkable combination of circumstances. The largest sporadic group, the so-called **monster group**, has over 10^{53} elements, more than a thousand times the number of atoms in the Earth.^[108]



A graph of the j -function in the complex plane

A seemingly unrelated construction is the j -function of **number theory**. This object belongs to a special class of functions called **modular functions**, whose graphs form a certain kind of repeating pattern.^[109] Although this function appears in a branch of mathematics which seems very different from the theory of finite groups, the two subjects turn out to be intimately related. In the late 1970s, mathematicians **John McKay** and **John Thompson** noticed that certain numbers arising in the analysis of the monster group (namely, the dimensions of its **irreducible representations**) are related to numbers that appear in a formula for the j -function (namely, the coefficients of its **Fourier series**).^[110] This relationship was further developed by **John Horton Conway** and **Simon Norton**^[111] who called it **monstrous moonshine** because it seemed so far fetched.^[112]

In 1992, **Richard Borcherds** constructed a bridge between the theory of modular functions and finite groups and, in the process, explained the observations of McKay and Thompson.^{[113][114]} Borcherds' work used ideas from string theory in an essential way, extending earlier results of **Igor Frenkel**, **James Lepowsky**, and **Arne Meurman**, who had realized the monster group as the symmetries of a particular version of string theory.^[115] In 1998, Borcherds was awarded the **Fields medal** for his work.^[116]

Since the 1990s, the connection between string theory and moonshine has led to further results in mathematics and physics.^[108] In 2010, physicists **Tohru Eguchi**, **Hiroshi Ooguri**, and **Yuji Tachikawa** discovered connections between a different sporadic group, the **Mathieu**

group M_{24} , and a certain version of string theory.^[117] Miranda Cheng, John Duncan, and Jeffrey A. Harvey proposed a generalization of this moonshine phenomenon called **umbral moonshine**,^[118] and their conjecture was proved mathematically by Duncan, Michael Griffin, and Ken Ono.^[119] Witten has also speculated that the version of string theory appearing in monstrous moonshine might be related to a certain simplified model of gravity in three spacetime dimensions.^[120]

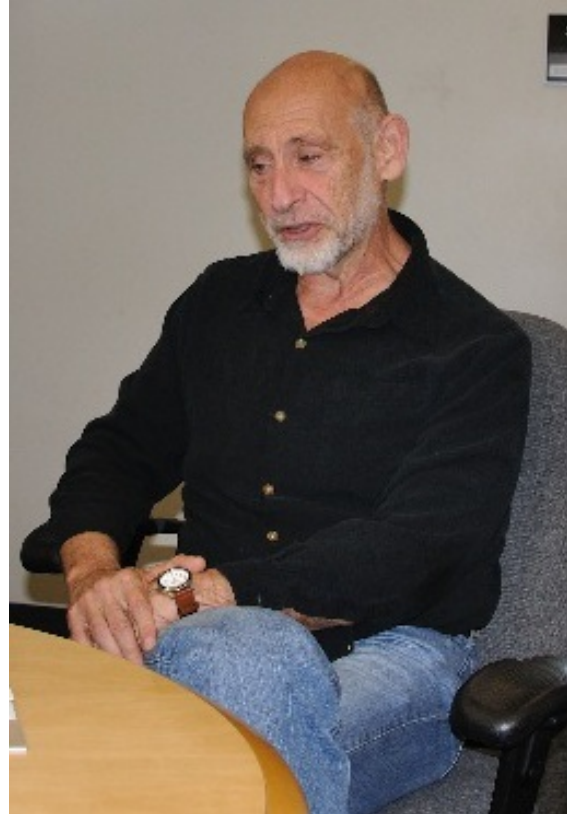
7 History

Main article: [History of string theory](#)

7.1 Early results

Some of the structures reintroduced by string theory arose for the first time much earlier as part of the program of classical unification started by Albert Einstein. The first person to add a **fifth dimension** to a theory of gravity was Gunnar Nordström in 1914, who noted that gravity in five dimensions describes both gravity and electromagnetism in four. Nordström attempted to unify electromagnetism with his **theory of gravitation**, which was however superseded by Einstein's general relativity in 1919. Thereafter, German mathematician Theodor Kaluza combined the fifth dimension with **general relativity**, and only Kaluza is usually credited with the idea. In 1926, the Swedish physicist Oskar Klein gave a **physical interpretation** of the unobservable extra dimension—it is wrapped into a small circle. Einstein introduced a **non-symmetric metric tensor**, while much later Brans and Dicke added a scalar component to gravity. These ideas would be revived within string theory, where they are demanded by consistency conditions.

String theory was originally developed during the late 1960s and early 1970s as a never completely successful theory of **hadrons**, the **subatomic particles** like the proton and neutron that feel the **strong interaction**. In the 1960s, Geoffrey Chew and Steven Frautschi discovered that the **mesons** make families called **Regge trajectories** with masses related to spins in a way that was later understood by Yoichiro Nambu, Holger Bech Nielsen and Leonard Susskind to be the relationship expected from rotating strings. Chew advocated making a theory for the interactions of these trajectories that did not presume that they were composed of any fundamental particles, but would construct their interactions from **self-consistency conditions** on the S-matrix. The S-matrix approach was started by Werner Heisenberg in the 1940s as a way of constructing a theory that did not rely on the local notions of space and time, which Heisenberg believed break down at the nuclear scale. While the scale was off by many orders of magnitude, the approach he advocated



Leonard Susskind

was ideally suited for a theory of quantum gravity.

Working with experimental data, R. Dolen, D. Horn and C. Schmid developed some sum rules for hadron exchange. When a particle and **antiparticle** scatter, virtual particles can be exchanged in two qualitatively different ways. In the s-channel, the two particles annihilate to make temporary intermediate states that fall apart into the final state particles. In the t-channel, the particles exchange intermediate states by emission and absorption. In field theory, the two contributions add together, one giving a continuous background contribution, the other giving peaks at certain energies. In the data, it was clear that the peaks were stealing from the background—the authors interpreted this as saying that the t-channel contribution was dual to the s-channel one, meaning both described the whole amplitude and included the other.

The result was widely advertised by Murray Gell-Mann, leading Gabriele Veneziano to construct a **scattering amplitude** that had the property of Dolen-Horn-Schmid duality, later renamed world-sheet duality. The amplitude needed poles where the particles appear, on straight line trajectories, and there is a special mathematical function whose poles are evenly spaced on half the real line—the **gamma function**—which was widely used in Regge theory. By manipulating combinations of gamma functions, Veneziano was able to find a consistent scattering amplitude with poles on straight lines, with mostly positive residues, which obeyed duality and had the appropriate



Gabriele Veneziano

Regge scaling at high energy. The amplitude could fit near-beam scattering data as well as other Regge type fits, and had a suggestive integral representation that could be used for generalization.

Over the next years, hundreds of physicists worked to complete the *bootstrap program* for this model, with many surprises. Veneziano himself discovered that for the scattering amplitude to describe the scattering of a particle that appears in the theory, an obvious self-consistency condition, the lightest particle must be a *tachyon*. Miguel Virasoro and Joel Shapiro found a different amplitude now understood to be that of closed strings, while Ziro Koba and Holger Nielsen generalized Veneziano's integral representation to multiparticle scattering. Veneziano and Sergio Fubini introduced an operator formalism for computing the scattering amplitudes that was a forerunner of *world-sheet conformal theory*, while Virasoro understood how to remove the poles with wrong-sign residues using a constraint on the states. Claud Lovelace calculated a loop amplitude, and noted that there is an inconsistency unless the dimension of the theory is 26. Charles Thorn, Peter Goddard and Richard Brower went on to prove that there are no wrong-sign propagating states in dimensions less than or equal to 26.

In 1969–70, Yoichiro Nambu, Holger Bech Nielsen, and Leonard Susskind recognized that the theory could be given a description in space and time in terms of strings. The scattering amplitudes were derived systematically from the action principle by Peter Goddard, Jeffrey Goldstone, Claudio Rebbi, and Charles Thorn, giving a space-time picture to the vertex operators introduced by Veneziano and Fubini and a geometrical interpretation to the Virasoro conditions.

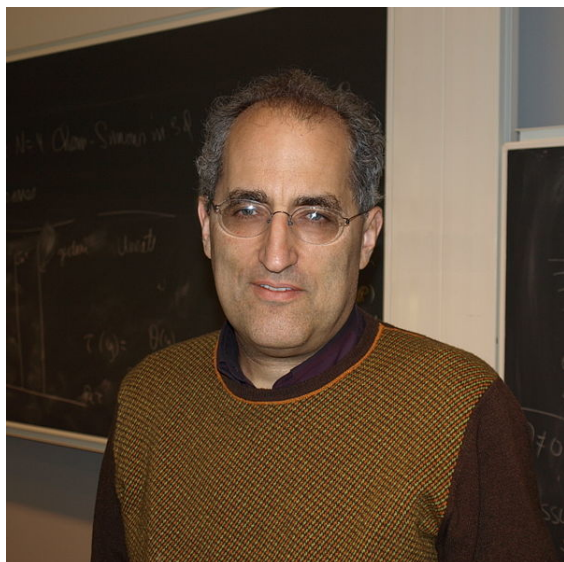
In 1971, Pierre Ramond added fermions to the model, which led him to formulate a two-dimensional supersymmetry to cancel the wrong-sign states. John Schwarz and André Neveu added another sector to the fermi theory a short time later. In the fermion theories, the critical dimension was 10. Stanley Mandelstam formulated a world sheet conformal theory for both the bose and fermi case, giving a two-dimensional field theoretic path-integral to generate the operator formalism. Michio Kaku and Keiji Kikkawa gave a different formulation of the bosonic string, as a *string field theory*, with infinitely many particle types and with fields taking values not on points, but on loops and curves.

In 1974, Tamiaki Yoneya discovered that all the known string theories included a massless spin-two particle that obeyed the correct Ward identities to be a graviton. John Schwarz and Joel Scherk came to the same conclusion and made the bold leap to suggest that string theory was a theory of gravity, not a theory of hadrons. They reintroduced *Kaluza–Klein theory* as a way of making sense of the extra dimensions. At the same time, *quantum chromodynamics* was recognized as the correct theory of hadrons, shifting the attention of physicists and apparently leaving the bootstrap program in the *dustbin of history*.

String theory eventually made it out of the dustbin, but for the following decade all work on the theory was completely ignored. Still, the theory continued to develop at a steady pace thanks to the work of a handful of devotees. Ferdinando Gliozzi, Joel Scherk, and David Olive realized in 1977 that the original Ramond and Neveu Schwarz-strings were separately inconsistent and needed to be combined. The resulting theory did not have a tachyon, and was proven to have space-time supersymmetry by John Schwarz and Michael Green in 1984. The same year, Alexander Polyakov gave the theory a modern path integral formulation, and went on to develop conformal field theory extensively. In 1979, Daniel Friedan showed that the equations of motions of string theory, which are generalizations of the Einstein equations of general relativity, emerge from the renormalization group equations for the two-dimensional field theory. Schwarz and Green discovered T-duality, and constructed two superstring theories—IIA and IIB related by T-duality, and type I theories with open strings. The consistency conditions had been so strong, that the entire theory was nearly uniquely determined, with only a few discrete choices.

7.2 First superstring revolution

In the early 1980s, Edward Witten discovered that most theories of quantum gravity could not accommodate chiral fermions like the neutrino. This led him, in collaboration with Luis Álvarez-Gaumé, to study violations of the conservation laws in gravity theories with anomalies, concluding that type I string theories were inconsistent. Green and Schwarz discovered a contribution to the anomaly that Witten and Alvarez-Gaumé had missed,



Edward Witten

which restricted the gauge group of the type I string theory to be $SO(32)$. In coming to understand this calculation, Edward Witten became convinced that string theory was truly a consistent theory of gravity, and he became a high-profile advocate. Following Witten's lead, between 1984 and 1986, hundreds of physicists started to work in this field, and this is sometimes called the **first superstring revolution**.

During this period, David Gross, Jeffrey Harvey, Emil Martinec, and Ryan Rohm discovered heterotic strings. The gauge group of these closed strings was two copies of E_8 , and either copy could easily and naturally include the standard model. Philip Candelas, Gary Horowitz, Andrew Strominger and Edward Witten found that the Calabi–Yau manifolds are the compactifications that preserve a realistic amount of supersymmetry, while Lance Dixon and others worked out the physical properties of orbifolds, distinctive geometrical singularities allowed in string theory. Cumrun Vafa generalized T-duality from circles to arbitrary manifolds, creating the mathematical field of mirror symmetry. Daniel Friedan, Emil Martinec and Stephen Shenker further developed the covariant quantization of the superstring using conformal field theory techniques. David Gross and Vipul Periwal discovered that string perturbation theory was divergent. Stephen Shenker showed it diverged much faster than in field theory suggesting that new non-perturbative objects were missing.

In the 1990s, Joseph Polchinski discovered that the theory requires higher-dimensional objects, called D-branes and identified these with the black-hole solutions of supergravity. These were understood to be the new objects suggested by the perturbative divergences, and they opened up a new field with rich mathematical structure. It quickly became clear that D-branes and other p-branes, not just strings, formed the matter content of the string



Joseph Polchinski

theories, and the physical interpretation of the strings and branes was revealed—they are a type of black hole. Leonard Susskind had incorporated the holographic principle of Gerardus 't Hooft into string theory, identifying the long highly excited string states with ordinary thermal black hole states. As suggested by 't Hooft, the fluctuations of the black hole horizon, the world-sheet or world-volume theory, describes not only the degrees of freedom of the black hole, but all nearby objects too.

7.3 Second superstring revolution

In 1995, at the annual conference of string theorists at the University of Southern California (USC), Edward Witten gave a speech on string theory that in essence united the five string theories that existed at the time, and giving birth to a new 11-dimensional theory called **M-theory**. M-theory was also foreshadowed in the work of Paul Townsend at approximately the same time. The flurry of activity that began at this time is sometimes called the **second superstring revolution**.^[34]

During this period, Tom Banks, Willy Fischler, Stephen Shenker and Leonard Susskind formulated matrix theory, a full holographic description of M-theory using IIA D0 branes.^[51] This was the first definition of string theory that was fully non-perturbative and a concrete mathematical realization of the **holographic principle**. It is an example of a gauge-gravity duality and is now understood to be a special case of the **AdS/CFT correspondence**. Andrew Strominger and Cumrun Vafa cal-



Juan Maldacena

culated the entropy of certain configurations of D-branes and found agreement with the semi-classical answer for extreme charged black holes.^[62] Petr Hořava and Witten found the eleven-dimensional formulation of the heterotic string theories, showing that orbifolds solve the chirality problem. Witten noted that the effective description of the physics of D-branes at low energies is by a supersymmetric gauge theory, and found geometrical interpretations of mathematical structures in gauge theory that he and Nathan Seiberg had earlier discovered in terms of the location of the branes.

In 1997, Juan Maldacena noted that the low energy excitations of a theory near a black hole consist of objects close to the horizon, which for extreme charged black holes looks like an anti-de Sitter space.^[71] He noted that in this limit the gauge theory describes the string excitations near the branes. So he hypothesized that string theory on a near-horizon extreme-charged black-hole geometry, an anti-de Sitter space times a sphere with flux, is equally well described by the low-energy limiting gauge theory, the $N = 4$ supersymmetric Yang–Mills theory. This hypothesis, which is called the AdS/CFT correspondence, was further developed by Steven Gubser, Igor Klebanov and Alexander Polyakov,^[72] and by Edward Witten,^[73] and it is now well-accepted. It is a concrete realization of the holographic principle, which has far-reaching implications for black holes, locality and information in physics, as well as the nature of the gravitational interaction.^[56] Through this relationship, string theory has been shown to be related to gauge theories like quantum chromodynamics and this has led to more quantitative understanding of the behavior of hadrons, bring-

ing string theory back to its roots.^[84]

8 Criticism

8.1 Number of solutions

Main article: [String theory landscape](#)

To construct models of particle physics based on string theory, physicists typically begin by specifying a shape for the extra dimensions of spacetime. Each of these different shapes corresponds to a different possible universe, or “vacuum state”, with a different collection of particles and forces. String theory as it is currently understood has an enormous number of vacuum states, typically estimated to be around 10^{500} , and these might be sufficiently diverse to accommodate almost any phenomena that might be observed at low energies.^[121]

Many critics of string theory have expressed concerns about the large number of possible universes described by string theory. In his book *Not Even Wrong*, Peter Woit, a lecturer in the mathematics department at Columbia University, has argued that the large number of different physical scenarios renders string theory vacuous as a framework for constructing models of particle physics. According to Woit,

The possible existence of, say, 10^{500} consistent different vacuum states for superstring theory probably destroys the hope of using the theory to predict anything. If one picks among this large set just those states whose properties agree with present experimental observations, it is likely there still will be such a large number of these that one can get just about whatever value one wants for the results of any new observation.^[122]

Some physicists believe this large number of solutions is actually a virtue because it may allow a natural anthropic explanation of the observed values of physical constants, in particular the small value of the cosmological constant.^[122] The anthropic principle is the idea that some of the numbers appearing in the laws of physics are not fixed by any fundamental principle but must be compatible with the evolution of intelligent life. In 1987, Steven Weinberg published an article in which he argued that the cosmological constant could not have been too large, or else galaxies and intelligent life would not have been able to develop.^[123] Weinberg suggested that there might be a huge number of possible consistent universes, each with a different value of the cosmological constant, and observations indicate a small value of the cosmological constant only because humans happen to live in a universe that has allowed intelligent life, and hence observers, to exist.^[124]

String theorist Leonard Susskind has argued that string theory provides a natural anthropic explanation of the small value of the cosmological constant.^[125] According to Susskind, the different vacuum states of string theory might be realized as different universes within a larger **multiverse**. The fact that the observed universe has a small cosmological constant is just a tautological consequence of the fact that a small value is required for life to exist.^[126] Many prominent theorists and critics have disagreed with Susskind's conclusions.^[127] According to Woit, "in this case [anthropic reasoning] is nothing more than an excuse for failure. Speculative scientific ideas fail not just when they make incorrect predictions, but also when they turn out to be vacuous and incapable of predicting anything."^[128]

8.2 Background independence

Main article: [Background independence](#)

One of the fundamental properties of Einstein's general theory of relativity is that it is **background independent**, meaning that the formulation of the theory does not in any way privilege a particular spacetime geometry.^[129]

One of the main criticisms of string theory from early on is that it is not manifestly background independent. In string theory, one must typically specify a fixed reference geometry for spacetime, and all other possible geometries are described as perturbations of this fixed one. In his book *The Trouble With Physics*, physicist **Lee Smolin** of the **Perimeter Institute for Theoretical Physics** claims that this is the principal weakness of string theory as a theory of quantum gravity, saying that string theory has failed to incorporate this important insight from general relativity.^[130]

Others have disagreed with Smolin's characterization of string theory. In a review of Smolin's book, string theorist Joseph Polchinski writes

[Smolin] is mistaking an aspect of the mathematical language being used for one of the physics being described. New physical theories are often discovered using a mathematical language that is not the most suitable for them... In string theory it has always been clear that the physics is background-independent even if the language being used is not, and the search for more suitable language continues. Indeed, as Smolin belatedly notes, [AdS/CFT] provides a solution to this problem, one that is unexpected and powerful.^[131]

Polchinski notes that an important open problem in quantum gravity is to develop holographic descriptions of gravity which do not require the gravitational field to be asymptotically anti-de Sitter.^[131] Smolin has responded

by saying that the AdS/CFT correspondence, as it is currently understood, may not be strong enough to resolve all concerns about background independence.^[lower-alpha 7]

8.3 Sociological issues

Since the superstring revolutions of the 1980s and 1990s, string theory has become the dominant paradigm of high energy theoretical physics.^[132] Some string theorists have expressed the view that there does not exist an equally successful alternative theory addressing the deep questions of fundamental physics. In an interview from 1987, **Nobel laureate David Gross** made the following controversial comments about the reasons for the popularity of string theory:

The most important [reason] is that there are no other good ideas around. That's what gets most people into it. When people started to get interested in string theory they didn't know anything about it. In fact, the first reaction of most people is that the theory is extremely ugly and unpleasant, at least that was the case a few years ago when the understanding of string theory was much less developed. It was difficult for people to learn about it and to be turned on. So I think the real reason why people have got attracted by it is because there is no other game in town. All other approaches of constructing grand unified theories, which were more conservative to begin with, and only gradually became more and more radical, have failed, and this game hasn't failed yet.^[133]

Several other high-profile theorists and commentators have expressed similar views, suggesting that there are no viable alternatives to string theory.^[134]

Many critics of string theory have commented on this state of affairs. In his book criticizing string theory, Peter Woit views the status of string theory research as unhealthy and detrimental to the future of fundamental physics. He argues that the extreme popularity of string theory among theoretical physicists is partly a consequence of the financial structure of academia and the fierce competition for scarce resources.^[135] In his book *The Road to Reality*, mathematical physicist **Roger Penrose** expresses similar views, stating "The often frantic competitiveness that this ease of communication engenders leads to 'bandwagon' effects, where researchers fear to be left behind if they do not join in."^[136] Penrose also claims that the technical difficulty of modern physics forces young scientists to rely on the preferences of established researchers, rather than forging new paths of their own.^[137] Lee Smolin expresses a slightly different position in his critique, claiming that string theory grew out of a tradition of particle physics which discourages speculation about the foundations of physics, while his preferred

approach, **loop quantum gravity**, encourages more radical thinking. According to Smolin,

String theory is a powerful, well-motivated idea and deserves much of the work that has been devoted to it. If it has so far failed, the principal reason is that its intrinsic flaws are closely tied to its strengths—and, of course, the story is unfinished, since string theory may well turn out to be part of the truth. The real question is not why we have expended so much energy on string theory but why we haven't expended nearly enough on alternative approaches.^[138]

Smolin goes on to offer a number of prescriptions for how scientists might encourage a greater diversity of approaches to quantum gravity research.^[139]

9 Notes and references

9.1 Notes

- [1] For example, physicists are still working to understand the phenomenon of **quark confinement**, the paradoxes of **black holes**, and the origin of **dark energy**.
- [2] For example, in the context of the **AdS/CFT correspondence**, theorists often formulate and study theories of gravity in unphysical numbers of spacetime dimensions.
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- [4] More precisely, one cannot apply the methods of perturbative quantum field theory.
- [5] Two independent mathematical proofs of mirror symmetry were given by Givental 1996, 1998 and Lian, Liu, Yau 1997, 1999, 2000.
- [6] More precisely, a nontrivial group is called *simple* if its only **normal subgroups** are the **trivial group** and the group itself. The **Jordan–Hölder theorem** exhibits finite simple groups as the building blocks for all finite groups.
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- [43] Bergshoeff, Sezgin, and Townsend 1987













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10 Further reading

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11 External links

- *The Elegant Universe*—A three-hour miniseries with Brian Greene by NOVA (original PBS Broadcast Dates: October 28, 8–10 p.m. and November 4, 8–9 p.m., 2003). Various images, texts, videos and animations explaining string theory.
- Not Even Wrong—A blog critical of string theory
- The Official String Theory Web Site
- Why String Theory—An introduction to string theory.

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